

07-18-06

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TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney Docket No. UM-13022

10/586269

International Application No.  
PCT/US2005/001363International Filing Date:  
18 January 2005 (18.01.05)Priority Date Claimed:  
16 January 2004 (16.01.04)Title Of Invention: **SMAC Peptidomimetics and the Uses Thereof**APPLICANT(S) FOR DO/EO/US **WANG, Shaomeng, et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825; Sequence Listing in Paper Copy; Certificate of Sequence Listing.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **WO 2005/069888 A2; WO 2005/069888 A3 as republished; Written Opinion (Form PCT/ISA/237); International Search Report (Form PCT/ISA/210); Requested Corrections as filed on 29 March 2006.**

U.S. Application No. <b>10/586269</b>		International Application No. PCT/US2005/001363		Attorney's Docket No. UM-13022	
				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
21. <input checked="" type="checkbox"/> Basic National Fee		\$ 300.00		\$300.00	
22. <input checked="" type="checkbox"/> Examination Fee				\$200.00	
<input type="checkbox"/> If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		\$ 100.00			
<input checked="" type="checkbox"/> All Other Situations		\$ 200.00			
23. <input checked="" type="checkbox"/> Search Fee				\$400.00	
<input type="checkbox"/> Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		\$ 100.00			
<input checked="" type="checkbox"/> International Search Report prepared and provided to the Office		\$ 400.00			
<input type="checkbox"/> All Other Situations		\$ 500.00			
<b>Total of 21, 22 and 23 =</b>				<b>\$900.00</b>	
Additional Fee For Specification and Drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of Additional or Fraction Thereof (round up to a whole number)		RATE	
109 - 100	9/50 =	1 x 250		\$250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL CLAIMS	30 - 20 =	10	x \$50.00	\$500.00	
INDEPENDENT CLAIMS	1 - 3 =	0	x \$200.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)	+ \$360.00	No	+ \$360.00	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$1,650.00</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					
<b>SUBTOTAL =</b>				<b>\$825.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0.00	

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U.S. Application No. <b>10/586269</b>	International Application No. PCT/US2005/001363	Attorney's Docket No. UM-13022
TOTAL NATIONAL FEE =		\$825.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$0.00
TOTAL FEES ENCLOSED =		\$825.00
		Amount To Be Refunded: \$
		Charged: \$

a. ☒ A check in the amount of **\$825.00** to cover the above fees is enclosed.

b. ☐ Please charge any my **Deposit Account No. 08-1290** in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

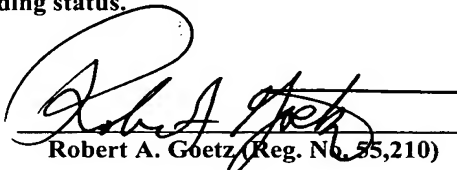
c. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to **Deposit Account No. 08-1290**. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

Send All Correspondence To:

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 Robert A. Goetz (Reg. No. 55,210)

**17 July 2006**  
 Date